

0	10	1	60	2	10	3	10	4	16	5	10	6	10	7	10	8	5	9	10		95
---	----	---	----	---	----	---	----	---	----	---	----	---	----	---	----	---	---	---	----	--	----

Math 333 First Exam (19 Oct 2010)

Name:

median score 62

(65%)

avg score 59 p

(62%)

If you use a theorem indicate what it is. Show all work (unless instructed otherwise).

Good Luck!

2 pts each

0. Circle **True or False** without explanation:

- (T or F) Every linearly independent set in V can be extended to a basis of V .
- (T or F) \mathbf{R}^9 and $M_{3 \times 3}$ are isomorphic.
- (T or F) If $T \circ S$ is one-to-one then so is T .
- (T or F) If U, W are subspaces then so is their union $U \cup W$.
- (T or F) Any linear $T : \mathcal{P}_2 \rightarrow \mathcal{P}_2$ that is one-to-one is onto.

1. Find a basis and the dimension for the subspace (in $M_{3 \times 3}$) given by

$$W := \left\{ \begin{bmatrix} a & 0 & c \\ c & a & b \\ 0 & b & a \end{bmatrix} : a, b, c \in \mathbf{R} \right\}. \text{ Do not prove anything.}$$

$$a \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} + b \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} + c \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

\uparrow \nearrow \searrow

basis (clearly span and are lin. indep)

so $\dim = 3$.

10 pts 2. Carefully verify that the set of all even functions on \mathbf{R} ,

$$W := \{f \in \mathcal{F} : f(x) = f(-x) \text{ for all } x \in \mathbf{R}\},$$

is a subspace of \mathcal{F} .

Suppose $f, g \in W$ and c is a scalar in \mathbf{R} .

Then $(f+g)(-x) = \underset{\substack{\in \text{def of } + \\ \text{hyp. of } f \text{ and } g}}{f(-x) + g(-x)} = \underset{\substack{\in \text{hyp. of } f \text{ and } g \\ \in \text{def of } +}}{f(x) + g(x)} = (f+g)(x)$
 $(cf)(-x) = c \cdot f(-x) = c \cdot f(x) = (cf)(x)$

Thus $f+g \in W$ and $cf \in W$ making W a subspace.

10 pts

3. Suppose that $T : V \rightarrow W$ is linear and $\{T(v_1), \dots, T(v_n)\}$ is a linearly independent set. Prove that $\{v_1, \dots, v_n\}$ is linearly independent but the opposite implication may fail.

6 pts

Suppose $a_1v_1 + \dots + a_nv_n = 0$ for some a_1, \dots, a_n .

Then $T(a_1v_1 + \dots + a_nv_n) = T(0)$ i.e.

$$a_1T(v_1) + \dots + a_nT(v_n) = 0 \quad (\text{by linearity of } T \text{ and } T(0)=0).$$

By our hypothesis on $T(v_i)$, $a_1 = a_2 = \dots = a_n = 0$.

Thus $\{v_1, \dots, v_n\}$ are lin. indep.

4 pts

The opposite implication fails \Rightarrow shown by an example

$T : \mathbf{R}^2 \rightarrow \mathbf{R}^2$ being the zero map, i.e., $T(v) = 0$ for all $v \in \mathbf{R}^2$.

Just take $v_1 = e_1, v_2 = e_2$.

4. Let $T : \mathcal{P}_2 \rightarrow \mathcal{P}_2$ be given by $T(p) := p''$ (the second derivative).

a) Describe $\text{kernel}(T)$ and compute $\text{nullity}(T)$.

5 pts

$$\text{ker}(T) = \{ p \in \mathcal{P}_2 : p'' = 0 \} = \{ ax^2 + bx + c : 2a = 0 \} = \{ bx + c : b, c \in \mathbb{R} \}$$

which are all "linear functions" as encountered in calculus class; or, simply, \mathcal{P}_1

$$\text{nullity}(T) = 2 \quad \text{since } \{1, x\} \text{ is a basis of } \text{ker}(T)$$

5 pts

b) Describe $\text{range}(T)$ and compute $\text{rank}(T)$.

$$\text{range}(T) = \{ p'' : p \in \mathcal{P}_2 \} = \{ 2a : a \in \mathbb{R} \} = \text{all constant functions}$$

or, simply, \mathcal{P}_0 .

$$\text{rank}(T) = 1 \quad \text{since } \{1\} \text{ is a basis of } \text{range}(T)$$

c) Write out the matrix of T in the standard basis $\{1, x, x^2\}$.

Since $T(1) = 0$
 $T(x) = 0$ we have $[T] = \begin{bmatrix} 0 & 0 & 2 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$
 $T(x^2) = 2 = 2 \cdot 1$

10 pts

5. You learned that if $\dim V = \dim W$ (and finite) then V and W are isomorphic. Recall the construction of the isomorphism $T : V \rightarrow W$ (without proving its properties).

Let $\mathcal{B} = \{v_1, \dots, v_n\}$ be a basis of V and $\{w_1, \dots, w_n\}$ be a basis of W .

Then, on any $v \in W$, we define

$$T(v) = \left[T\left(\sum_{i=1}^n x_i v_i\right) = \sum_{i=1}^n x_i T(v_i)\right] = \sum_{i=1}^n x_i w_i$$

Can cut out
this piece.

where x_i are the coordinates of v i.e. $\begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = [v]_{\mathcal{B}}$.

6. Suppose that $\{v_1, v_2, v_3\}$ is a basis (of some V).

a) Show that $\mathcal{B} = \{v_1 - v_2, v_2 - v_3, v_3 - v_1\}$ is not a basis.

4 pts

The vectors are lin. dep. since:

$$\begin{aligned} 1 \cdot (v_1 - v_2) + 1 \cdot (v_2 - v_3) + 1 \cdot (v_3 - v_1) \\ = v_1 - v_2 + v_2 - v_3 + v_3 - v_1 = 0. \end{aligned}$$

6 pts

b) Show that $\mathcal{B} = \{v_1 + v_2, v_2 + v_3, v_3 + v_1\}$ is a basis.

Lin. independence: $a_1(v_1 + v_2) + a_2(v_2 + v_3) + a_3(v_3 + v_1) = 0$
 $(a_1 + a_3)v_1 + (a_1 + a_2)v_2 + (a_2 + a_3)v_3 = 0$

so $\begin{cases} a_1 + a_3 = 0 \\ a_1 + a_2 = 0 \\ a_2 + a_3 = 0 \end{cases}$ i.e. $\underbrace{\begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}}_{3 \times 3 \text{ matrix } A \text{ of } \det = 1+1-0=2} \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} = 0$ so $a_1 = a_2 = a_3 = 0$.

Spanning: Any v can be written as $b_1v_1 + b_2v_2 + b_3v_3$.

The question is if we can write it as $a_1(v_1 + v_2) + a_2(v_2 + v_3) + a_3(v_3 + v_1)$.

That is if we can solve $A \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix}$. But this we can $\boxed{A} = A^{-1}b$.

10 pts

7. Suppose that the set $\mathcal{A} = \{v_1, \dots, v_n\}$ spans all of V but fails to do so if one removes any of its vectors. Prove that \mathcal{A} is independent.

Suppose \mathcal{A} is dependent i.e. $a_1v_1 + \dots + a_nv_n = 0$ and some $a_i \neq 0$.

Then $v_i = -\frac{1}{a_i}(a_1v_1 + \dots + a_nv_n)$ so $v_i \in \text{span}(v_1, \dots, \overset{i\text{th row}}{\cancel{v_i}}, \dots, v_n)$

Thus $\text{span}(v_1, \dots, \underset{i\text{th row}}{\cancel{v_i}}, \dots, v_n) = V$

5pts 8. Show that $\{e^x, e^{-x}\}$ is linearly independent (in \mathcal{F}).

$$\text{Say } ae^x + be^{-x} = 0.$$

$$\begin{aligned} \text{Then } @ x=0: \quad a+b &= 0 \\ @ x=1 \quad a \cdot e + b \cdot e^{-1} &= 0 \quad \text{so} \quad \underbrace{\begin{bmatrix} 1 & 1 \\ e & e^{-1} \end{bmatrix}}_{\text{has det } = e^{-1} - e \neq 0} \begin{bmatrix} a \\ b \end{bmatrix} = 0 \\ &\text{so } a=b=0. \end{aligned}$$

9. A certain binary code sends a message $x = (x_1, x_2)^T$ to $b := Gx$ where the code generating matrix G is

$$G = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \\ 1 & 0 \end{bmatrix} \quad \text{so} \quad \begin{bmatrix} b_1 \\ b_2 \\ b_3 \\ b_4 \end{bmatrix} = Gx = \begin{bmatrix} x_1 \\ x_2 \\ x_1 + x_2 \\ x_1 \end{bmatrix}$$

6pts a) Find the conditions ("check") for (b_1, b_2, b_3, b_4) to be an uncorrupted message (i.e. $b = Gx$ for some x).

b uncorrupted iff $b \in C(G)$ iff $b \perp N(G^T)$

$N(G^T)$ is 2-dim (since G has 2 = 4 - 2 pivots) and we easily "guess"

$$N(G^T) = \text{span} \{ [1, 1, 1, 0], [1, 0, 0, 1] \}$$

$$\underbrace{\begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{bmatrix}}_{\text{if}} \begin{bmatrix} b_1 \\ b_2 \\ b_3 \\ b_4 \end{bmatrix} = 0 \quad \begin{array}{l} b_1 + b_2 + b_3 = 0 \leftarrow \text{ordinary parity check} \\ \text{i.e. } b_1 + b_4 = 0 \leftarrow b_1 = b_4 \end{array}$$

NOTE: $[A|I]$ per theorem we proved so could short circuit

4pts. b) How many bits in (b_1, b_2, b_3, b_4) can be corrupted and the original message (x_1, x_2) is still recoverable? (Give an answer and some indication of the reason.)

Just one since one can recover x_1, x_2 from any three (in fact any two of the first three) and corrupting the middle bits can be unrecoverable e.g.

$$\begin{bmatrix} 1 \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} 1 \\ * \\ * \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 \\ * \\ 1 \end{bmatrix}$$

6	8	10	10	3	10	4	10	5	10	6	10	7	10	8	10	88 _{max}
---	---	----	----	---	----	---	----	---	----	---	----	---	----	---	----	-------------------

Math 333 Second Exam (30 Nov 2010)

Name:

Show all work (unless instructed otherwise). Good Luck!

0. Circle **True** or **False** without explanation: Below vectors are in a finite dimensional vector space V , $\langle \cdot | \cdot \rangle$ denotes an inner product, and $\| \cdot \|$ its associated norm.

- (T) or F) $\langle u | v \rangle = \|u\| \|v\|$ only if one of u and v is a scalar multiple of another.
- (T or F) Every norm on V comes from an inner product.
- (T) or F) $\bar{x} := A^+b$ is a **least squares solution** to $Ax = b$.
- (T or F) For any square matrix A , the SVD gives a diagonalization of A .

- 10 pts 1. Suppose that u, v, w are vectors in an inner product space and

$$\langle u | v \rangle = -3, \quad \langle u | w \rangle = -6, \quad \langle v | w \rangle = 6$$

$$\|u\| = 3, \quad \|v\| = \sqrt{5}, \quad \|w\| = \sqrt{8}.$$

5 pts a) Evaluate $\|u + w\| = \dots \sqrt{\langle u + w | u + w \rangle}$

$$\begin{aligned}
 &= (\langle u | u \rangle + 2\langle u | w \rangle + \langle w | w \rangle)^{1/2} \\
 &= (3 + 2 \cdot (-3) + 8)^{1/2} = \boxed{\sqrt{5}}
 \end{aligned}$$

- 5 pts b) Determine by computation if $u + v$ is perpendicular to w .

$$\begin{aligned}
 \langle u + v | w \rangle &= \langle u | w \rangle + \langle v | w \rangle \\
 &= -6 + 6 = 0 \quad \text{so } \boxed{\text{yes}}
 \end{aligned}$$

- 10 pts 4. Let $\|A\|$ be a matrix norm of a square matrix A compatible with a vector norm $\|x\|$. Show that if λ is an eigenvalue of A then

$$\|A\| \geq |\lambda|.$$

Let $v \neq 0$ be the corresponding eigenvector so that
 $A v = \lambda v$.

Then $\|A\| \cdot \|v\| \geq \|Av\| = \|\lambda v\| = |\lambda| \cdot \|v\|$

Div. by $\|v\|$, yields $\|A\| \geq |\lambda|$.

- 10 pts 5. Prove (while justifying each step) that the Frobenius norm

$$\|A\|_F := \sqrt{\sum_{i,j=1}^n |a_{ij}|^2}$$

is compatible with the Euclidean norm, i.e., $\|Ax\| \leq \|A\|_F \|x\|$ for all x in \mathbf{R}^n .

$$\begin{aligned} \|Ax\|^2 &= \sum_i \left| \sum_j a_{ij} x_j \right|^2 \\ &\stackrel{\text{by using Cauchy-Schwarz}}{\leq} \sum_i \left(\sqrt{\sum_j a_{ij}^2} \sqrt{\sum_j x_j^2} \right)^2 \\ &= \sum_i \left(\sum_j a_{ij}^2 \sum_j x_j^2 \right) \\ &= \left(\sum_i \sum_j a_{ij}^2 \right) \sum_j x_j^2 \\ &= \sum_{ij} a_{ij}^2 \sum_j x_j^2 = \|A\|_F^2 \cdot \|x\|^2 \end{aligned}$$

8. Consider the matrix $A = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$. lengths only!

a) Compute the semi-axis of the ellipse that is the image of the unit circle under the linear transformation T_A of the plane \mathbf{R}^2 induced by A .

$$A^T A = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 2 \end{bmatrix} \text{ has char. poly}$$

$$\lambda^2 - \text{trace } \lambda + \det = 0$$

$$\lambda^2 - 3\lambda + 1 = 0 \text{ with roots } \lambda_{1,2} = \frac{3 \pm \sqrt{9-4 \cdot 1}}{2}$$

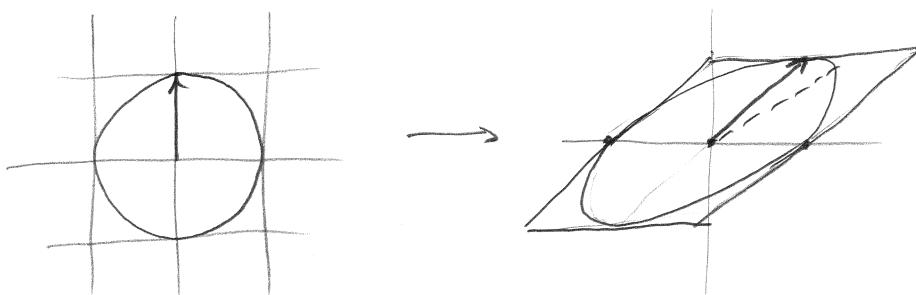
$$\text{Singular values : } \sigma_1 = \sqrt{\lambda_1} = \sqrt{\frac{3+\sqrt{5}}{2}}$$

$$\sigma_2 = \sqrt{\lambda_2} = \sqrt{\frac{3-\sqrt{5}}{2}} \text{ are the semi-axes lengths}$$

b) What is the value of the operator norm $\|A\| := \max_{\|x\|=1} \|Ax\|$? (Here $\|x\|$ is the ordinary Euclidean norm.)

$$\|A\| = \sigma_1 = \sqrt{\frac{3+\sqrt{5}}{2}}$$

c)* Sketch the image of the square $\{(x, y) : -1 \leq x \leq 1, -1 \leq y \leq 1\}$ under T_A and guess-sketch the ellipse (from a)). mark the semi-axes



10	10	10	10	10	10	15	10	15	10	10	120 max
----	----	----	----	----	----	----	----	----	----	----	------------

Math 333 Final Exam (15 Dec 2010)

Name:

Show all work (unless instructed otherwise). Good Luck!

- 10 pts 0. Circle True or False without explanation. Below V is a finite dimensional vector space.

- T or F) V is isomorphic to \mathbf{R}^n provided $n = \dim(V)$.
- T or F) Any two bases of V have the same number of elements.
- T or F) If $T : V \rightarrow V$ is linear and onto then it is one-to-one.
- T or F) For orthogonally diagonalizable A , the singular values are eigenvalues.
- T or F) For $A \geq 0$, $A^3 > 0$ implies that A is irreducible.

- 10 pts 1. V is an inner product space and $\|x\| := \sqrt{\langle x|x \rangle}$ is the associated norm.

- a) Demonstrate the Parallelogram Law:

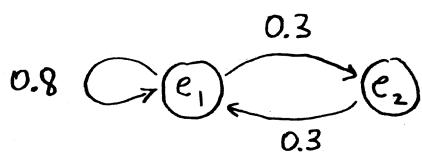
$$\|u + v\|^2 + \|u - v\|^2 = 2\|u\|^2 + 2\|v\|^2 \quad \text{for all } u, v \text{ in } V.$$

$$\begin{aligned} \text{LHS} &= \langle u+v | u+v \rangle^2 + \langle u-v | u-v \rangle^2 \\ &= \|u\|^2 + 2\cancel{\langle u|v \rangle} + \|v\|^2 + \|u\|^2 - 2\cancel{\langle u|v \rangle} + \|v\|^2 \\ &= 2\|u\|^2 + 2\|v\|^2 \end{aligned}$$

adjusted EI score = $\max \{ EI, 0.2EI + 0.8M \}$ underpinning

10 pts

2. The following graph describes the flow of wealth in a partnership of two agents e_1 and e_2 .



$$A = \begin{bmatrix} 0.8 & 0.3 \\ 0.3 & 0 \end{bmatrix} \quad \text{trace} = 0.8 \quad \det = -0.09$$

- a) What is the asymptotic ratio of the wealth of e_1 to the wealth of e_2 ?

$$\lambda^2 - 0.8\lambda - 0.09 = 0 \quad \Rightarrow \quad \lambda = \frac{0.8 \pm \sqrt{0.64 + 0.36}}{2} = \frac{0.8 \pm 1}{2}$$

$$\text{so } \lambda_1 = 0.9; \lambda_2 = -0.1$$

$$A - \lambda_1 I = \begin{bmatrix} -0.1 & 0.3 \\ 0.3 & 0.9 \end{bmatrix} \quad \text{so} \quad -0.1x_1 + 0.3x_2 = 0 \quad \text{gives eigenvector } v_1 = \begin{bmatrix} 3 \\ 1 \end{bmatrix} \quad \text{hence the ratio} = \frac{3}{1}$$

- b) Compute the growth rate λ_1 . Is this partnership going to prosper or fizz out?

$$\lambda_1 = 0.9$$

Since $\lambda_1 = 0.9 \rightarrow 0 \rightarrow \infty$ the partnership fizzles out

10 pts

3. Let $\|A\|$ be a matrix norm of a square matrix A compatible with a vector norm $\|x\|$. Suppose there is x with $\|x\| = 1$ such that

$$\|Ax\| = 2 \quad \text{and} \quad \|A^2x\| = 9.$$

- a) Prove that $\|A\| \geq 2$.

$$\|A\| = \|A\| \cdot \|x\| \stackrel{\text{compatibility}}{\geq} \|Ax\| = 2$$

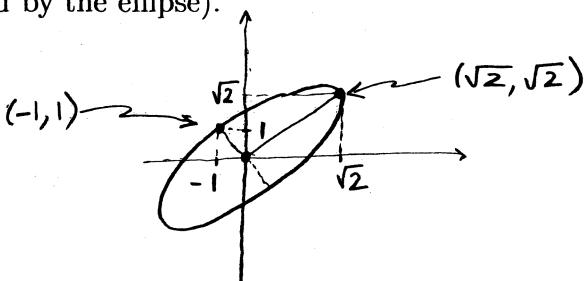
- b)* Prove that $\|A\| \geq 3$.

$$\|A\|^2 \stackrel{\text{matrix norm}}{\geq} \|A \cdot A\| = \|A^2\| \|x\| \geq \|A^2x\| = 9$$

$$\text{so } \|A\| \geq 3.$$

10 pts

4. For a 2×3 matrix A , the image of the unit sphere under T_A is the depicted solid ellipse (i.e., the oval area bounded by the ellipse).



3 pts

- b) What is the rank(A)?

2

3 pts

- a) What are the singular values of A ? lengths of semi-axes: $\sqrt{\sqrt{2}^2 + \sqrt{2}^2} = \sqrt{4} = 2$
and $\sqrt{(-1)^2 + 1^2} = \sqrt{2}$

4 pts

- c) What is the U in the SVD $A = U\Sigma V^T$?

$$u_1 = \text{normalized } (\sqrt{2}, \sqrt{2})^T = \left(\frac{\sqrt{2}}{\sqrt{2}}, \frac{\sqrt{2}}{\sqrt{2}}\right)^T$$

$$u_2 = \text{normalized } (-1, 1)^T = \left(-\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}\right)^T$$

10 pts

5. Suppose that B is a fixed 2×2 matrix.

- a) Check that the set $W_B := \{A \in M_{2 \times 2} : AB = BA\}$ is a subspace (of $M_{2 \times 2}$).

Suppose $A, \tilde{A} \in W_B$ i.e. $AB \stackrel{(1)}{=} BA$ and $\tilde{A} \stackrel{(2)}{=} B\tilde{A}$

Then $(A + \tilde{A})B = AB + \tilde{A}B \stackrel{(1)(2)}{=} BA + B\tilde{A} = B(A + \tilde{A})$ so $A + \tilde{A} \in W_B$

Suppose $A \in W_B$ and α scalar.

Then $(\alpha A)B = \alpha(AB) \stackrel{(1)}{=} \alpha(BA) = B(\alpha A)$ so $\alpha A \in W_B$

5 pts

- b) Find a basis of W_B for the specific B given by $B = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$.

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in W_B \text{ iff } \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

$$\begin{bmatrix} a+b & a+b \\ c+d & c+d \end{bmatrix} = \begin{bmatrix} a+c & b+d \\ a+c & b+d \end{bmatrix}$$

$$\begin{cases} a+b = a+c \text{ so } b=c \\ a+b = b+d \text{ so } a=d \\ c+d = a+c \text{ so } d=a \\ c+d = b+d \text{ so } c=d \end{cases}$$

i.e. Got $a=d, c=b$ i.e.

$A = a \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + c \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$. Hence $\left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \right\}$ forms a basis

- 15 pts 6. Let A be $n \times m$ with independent columns and QR-decomposition $A = QR$. (Here Q is $n \times m$ and R is $m \times m$.)

- 4 pts a) Give an idea why $C(A) = C(Q)$.

From $A = QR$ columns of A are lin. comb. of col of Q ,
so that $C(A) \subseteq C(Q)$

Likewise, from $Q = AR^{-1}$, $C(Q) \subseteq C(A)$.

The two inclusions give $C(A) = C(Q)$

- 5 pts b) Write the formula for the projection of $b \in \mathbf{R}^n$ onto $C(A)$ in terms of Q and b .

$$\text{proj}_{C(Q)} b = q_1^T b q_1 + \dots + q_m^T b q_m = QQ^T b$$

- 6 pts c) Express the least squares solution to $Ax = b$ by using Q , R , and b . Justify.

$$A^T A x = A^T b \text{ becomes } \underbrace{R^T Q^T Q R x}_{R^T R x} = R^T Q^T b$$

$$R^T R x = R^T Q^T b \quad / R^T(R^T)^{-1}$$

$$x = R^{-1}(R^T)^{-1} R^T Q^T b = R^{-1} Q^T b$$

- 10 pts 7. Assuming $\{v_1, v_2, v_3\}$ is linearly independent, show that $\{v_1, v_1 + v_2, v_1 + v_2 + v_3\}$ is linearly independent as well.

Suppose $a_1 v_1 + a_2(v_1 + v_2) + a_3(v_1 + v_2 + v_3) = 0$

Then $(a_1 + a_2 + a_3)v_1 + (a_2 + a_3)v_2 + a_3 v_3 = 0$

By lin. indep. of $\{v_1, v_2, v_3\}$: $a_3 = 0$

$$a_2 + a_3 = 0 \text{ so } a_2 = 0$$

$$a_1 + a_2 + a_3 = 0 \text{ so } a_1 = 0$$

15 pts 8. Consider $T : \mathcal{P}_2 \rightarrow \mathcal{P}_2$ given by $T(p(x)) = xp'(x)$.

4 pts a) What polynomials $p(x)$ make up the kernel(T)?

$$\begin{aligned}xp'(x) &= 0 \quad \text{for all } x \\p'(x) &= 0 \quad \dots \\p(x) &= \text{const polynomial.}\end{aligned}$$

5 pts b) Find the matrix $[T]$ of T with respect to the standard basis $\{1, x, x^2\}$.

$$\begin{aligned}T(1) &= x \cdot 0 = 0 \\T(x) &= x \cdot 1 = x \\T(x^2) &= x \cdot 2x = 2x^2\end{aligned}$$

$$[T] = \begin{matrix} & \begin{matrix} 1 & x & x^2 \end{matrix} \\ \begin{matrix} 1 \\ x \\ x^2 \end{matrix} & \left[\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{array} \right] \end{matrix}$$

2 pts c) What is the rank(T)? (Use a.)

$$\text{rank}(T) = \text{rank}([T]) = 2$$

4 pts d) Show that $S = I + T$ is invertible. (Here I is the identity so S is explicitly given by $S(p(x)) = p(x) + xp'(x)$; although, you may just use $[S]$ in your solution.)

$$[S] = [I+T] = [I] + [T] = \begin{bmatrix} 1 & & \\ & 2 & \\ & & 3 \end{bmatrix}$$

which is invertible and thus so is S .

10 pts 9. Construct an orthogonal basis of \mathcal{P}_2 with the inner product $\langle p|q \rangle := \int_0^1 p(x)q(x)dx$.

We shall orthogonalize $\{1, x, x^2\}$. Take $v_1 = 1$.

$$\langle 1|x \rangle = \int_0^1 x dx = \frac{1}{2}x^2 \Big|_0^1 = \frac{1}{2};$$

$$\text{Thus } \text{proj}_{\text{lin}(1)}x = \frac{\langle x|1 \rangle}{\langle 1|1 \rangle} \cdot 1 = \frac{1/2}{1} \cdot 1 = \frac{1}{2} \text{ and } v_2 = x - \frac{1}{2} \text{ is } \perp \text{ to } v_1.$$

$$\begin{aligned} \langle 1|x^2 \rangle &= \int_0^1 x^2 dx = \frac{1}{3}x^3 \Big|_0^1 = \frac{1}{3}; \quad \langle x - \frac{1}{2}|x^2 \rangle = \int_0^1 x^2 - \frac{1}{2}x^2 dx \\ &= \frac{1}{4}x^4 - \frac{1}{6}x^3 \Big|_0^1 = \frac{1}{4} - \frac{1}{6} = \frac{1}{12} \end{aligned}$$

$$\text{Thus } \text{proj}_{\text{lin}(v_1, v_2)}x^2 = \frac{\langle x^2|1 \rangle}{\langle 1|1 \rangle} \cdot 1 + \frac{\langle x^2|x - \frac{1}{2} \rangle}{\langle x - \frac{1}{2}|x - \frac{1}{2} \rangle} \left(x - \frac{1}{2} \right) = \frac{1}{3} + \frac{1/12}{1/2} \left(x - \frac{1}{2} \right)$$

$$\boxed{\text{Need } \langle x + \frac{1}{2}, x - \frac{1}{2} \rangle = \int_0^1 x^2 - x + \frac{1}{4} dx = \frac{1}{3} - \frac{1}{2} + \frac{1}{4} = \frac{1}{4} - \frac{1}{6} = \frac{1}{12}}$$

$$\text{Hence } v_3 = x^2 - x + \frac{1}{6}$$

$$\text{Got } \{1, x - \frac{1}{2}, x^2 - x + \frac{1}{6}\}$$

10 pts 10. In Crystallographic Restriction Theorem, a rotation matrix $R_\theta = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$ preserved some lattice Γ in \mathbf{R}^2 , $R_\theta \Gamma = \Gamma$. Explain why $\text{trace}(R_\theta) = 2 \cos \theta$ had to be an integer.

Upon choosing a basis $\{u, v\}$ with $u, v \in \Gamma$ we notice that

$$R_\theta(u) = au + bv, \quad R_\theta(v) = cu + dv \quad \text{for some } a, b, c, d \in \mathbb{Z}$$

(This is because $R_\theta(u) \in \Gamma$ and $\Gamma = \{au + bv : a, b \in \mathbb{Z}\}$;
likewise for $R_\theta(v)$.)

$$\text{Thus } [R_\theta] = \begin{bmatrix} a & c \\ b & d \end{bmatrix} \text{ so } \text{trace } R_\theta = \text{trace } [R_\theta] = a + d \in \mathbb{Z}$$